



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 7523**
Yoshiki SUGETA et al. : Docket No. 2003_0868A
Serial No. 10/601,705 : Group Art Unit 1753
Filed June 24, 2003 :

OVER-COATING AGENT FOR FORMING
FINE PATTERNS AND A METHOD OF
FORMING FINE PATTERNS USING SUCH
AGENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449.

A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.


4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

a. ☐ a full or partial English language translation submitted herewith,

- b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☐ the concise explanation contained in the specification of the present application at page,
 - d. ☐ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. [X] A foreign patent office (European) search report citing one or more of the references is enclosed.
6. [X] Please see the attached sheet regarding the cited references.

Respectfully submitted,

Yoshiki SUGETA et al.

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March 2, 2004

- ✓Reference A: Patent Abstracts of Japan, vol. 1995, no. 05,
JP-07-045510 A (Publication date: February 14, 1995)
- Reference B: EP 0 761 460 A (Publication date: March 12, 1997)
- Reference C: WO 95/34631 (Publication date: December 21, 1995)
- Reference D: U. S. Patent No. 5,300,394 (Issue date: April 5,
1994)
- Reference E: U. S. Patent No. 5,554,489 (Issue date: September
10, 1996)
- Reference F: U. S. Patent No. 4,999,119 (Issue date: March 12,
1991)
- ✓Reference G: XP-002257095 (Database WPI), JP-01-146785 A
(Publication date: June 8, 1989)
- ✓Reference H: Patent Abstracts of Japan, vol. 013, no. 405,
JP-01-146784 A (Publication date: June 8, 1989)
- Reference I: WO 02/43705 A2 (Publication date: June 6, 2002)
- Reference J: EP 1 008 605 A1 (Publication date: June 14, 2000)
- Reference K: U. S. Patent No. 5,001,009 (Issue date: March 19,
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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: March 2, 2004

ATTY DOCKET NO.
2003_0868AAPPLICANT
Yoshiki SUGETA et al. MAR 02 2004FILING DATE
June 24, 2003SERIAL NO.
07601,705GROUP
1753

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
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| | AA | 5,300,394 | 4/1994 | Miller et al. | | | |
| | AB | 5,554,489 | 9/1996 | Ishibashi et al. | | | |
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| | AI | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION YES NO |
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| | AJ | 0 761 460 | 3/1997 | Europe | | | |
| | AK | 95/34631 | 12/1995 | WIPO | | | |
| | AJ | 02/43705 | 6/2002 | WIPO | | | |
| | AM | 1 008 605 | 6/2000 | Europe | | | |
| | AN | | | | | | |

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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|--|----|---|
| | AO | Patent Abstracts of Japan, Vol. 1995, No. 05 (1995) & JP 07 045510 A (Hitachi Ltd.), (1995). |
| | AQ | Database WPI, Section Ch, Week 198929, Derwent Publications Ltd., Great Britain, AN 1989-209987, XP002257095 & JP 01 146785 (Fuji Photo Film Co. Ltd) (1989); abstract. |
| | AQ | Patent Abstracts of Japan, Vol. 013, No. 405 (1989) & JP 01 146784 (Fuji Photo film Co. Ltd) (1989). |

EXAMINER

DATE CONSIDERED